

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NO. MOS01 P-120 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/526703</b>
INTERNATIONAL APPLICATION NO. PCT/EP2003/009511	INTERNATIONAL FILING DATE 28 August 2003 (28.08.2003)	PRIORITY DATE CLAIMED 12 September 2002 (12.09.2002)
TITLE OF INVENTION TRANSFER PLANT AND METHOD FOR LOADING AND UNLOADING CONTAINERS FROM CONTAINER SHIPS		
APPLICANT(S) FOR DO/EO/US: Hermann Franzen and Joachim Kröll		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) below.</li><li>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</li><li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):<ol style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li></ol></li><li>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)):<ol style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> is attached hereto.</li><li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li></ol></li><li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)):<ol style="list-style-type: none"><li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li><li>b. <input checked="" type="checkbox"/> have been communicated by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li><li>d. <input type="checkbox"/> have not been made and will not be made.</li></ol></li><li>8. <input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li><li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (UNSIGNED)</li><li>10. <input type="checkbox"/> A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li></ol>		
Items 11. to 20. below concern document(s) or information included:		
<ol style="list-style-type: none"><li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li><li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li><li>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li><li>15. <input type="checkbox"/> A substitute specification.</li><li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li><li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li><li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li><li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li><li>20. <input type="checkbox"/> Other items or information:</li></ol>		

US APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NO.	
10/526703		PCT/EP2003/009511		MOS01 P-120	
The following fees are submitted:				Calculations	Office use only
21. <input checked="" type="checkbox"/> Basic national fee ..... \$300.00				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee					
<input type="checkbox"/> If International preliminary examination report prepared by USPTO and All claims satisfy provisions of PCT Article 33(1)-(4) ..... \$100.00				\$ 200.00	
<input checked="" type="checkbox"/> All other situations ..... \$200.00					
23. <input checked="" type="checkbox"/> Search fee					
<input type="checkbox"/> Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100.00				\$ 400.00	
<input checked="" type="checkbox"/> International Search Report prepared and provided to the Office ..... \$400.00					
<input type="checkbox"/> All other situations ..... \$500.00					
TOTAL OF 21, 22 and 23 =				\$ 900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				<input type="checkbox"/> 20 <input type="checkbox"/> 30	
				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	30 - 20 =	10	x \$ 50.00	\$ 500.00	
Independent claims	2 - 3 =	0	x \$ 200.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$1,400.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+	\$
SUBTOTAL =				\$1,400.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$
TOTAL FEES ENCLOSED =				\$1,400.00	
				Amount to be Refunded:	\$
				charged:	\$
Page 2 of 3					

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PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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BT01 Rec'd PCT/PTC 04 MAR 2005

US APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

PCT/US2003/009511

ATTORNEY'S DOCKET NO.

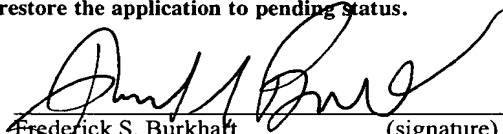
MOS01 P-120

- a. ☒ A check in the amount of \$1,400.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 22-0190 in the amount of \$\_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 22-0190. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Dated: March 4, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

101/526703  
DT01 Rec'd PCT/PTC 04 MAR 2005

Applicants : Hermann Franzen and Joachim Kröll  
For : TRANSFER PLANT AND METHOD FOR LOADING AND  
UNLOADING CONTAINERS FROM CONTAINER SHIPS  
International Filing Date : 28 August 2003  
International Application No. : PCT/EP2003/009511  
Attorney Docket No. : MOS01 P-120  
Customer No. : 28101

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

CERTIFICATE OF EXPRESS MAIL

I certify that the attached return postcard, Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (Form PTO-1390) (3 pages, in duplicate), Patent Application Bibliographic Data Form (1 page), Declaration for Utility or Design Patent Application (37 C.F.R. 1.63) (2 pages, unsigned), Preliminary Amendment (19 pages), copy of International Application No. PCT/EP2003/009511 (WO 2004/033356 A1) (45 pages), International Search Report in German and English (6 pages), verified English language translation of the International Application (17 pages), amendments to the claims of the International Application under PCT Rule 19 in German (6 pages) and verified English translation (4 pages), amendments to the claims of the International Application under PCT Rule 34 in German (7 pages) and verified English translation (4 pages); International Preliminary Examination Report under PCT Article 36 (13 pages), twelve (12) sheets of drawings (in duplicate); Information Disclosure Statement (2 pages); Form PTO-1449 (1 page); and copy of the foreign patent publications and other information referenced in the PTO-1449 form (6 pages); and a check in the amount of \$1,400.00 for the filing fee are being deposited with the United States Postal Service as Express Mail in an envelope having Express Mail Label Number EV580265833US addressed to:

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

on March 4, 2005.

FSB:djr  
MOS01 P-120  
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